

TRANSMITTAL LETTER OF THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. 2002-1003
		U.S. Application No. 10/088015
INTERNATIONAL APPLN. NO. PCT/EP99/07000	INTERNATIONAL FILING DATE 15 SEPTEMBER 1999	PRIORITY DATE CLAIMED
TITLE OF INVENTION. CONTROL SYSTEM FOR CONTINUOUSLY VARIABLE TRANSMISSION AND CONTINUOUSLY VARIABLE TRANSMISSION WHEREIN SUCH IS ENTITLED		
APPLICANT(S) FOR DE/EO/US: JOACHIM LUH AND MAAIKE VAN DER LAAN		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau) b. <input type="checkbox"/> has been communicated by the International Bureau See attached PCT/IB/308. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations 12. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 13. <input checked="" type="checkbox"/> A FIRST Preliminary Amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT Preliminary Amendment 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)). 20. <input checked="" type="checkbox"/> Other items or information: <u>International Search Report, PCT/IPEA/409, Abstract of the Disclosure on a Separate Sheet, Application Data Sheet</u> 		

U.S. APPLICATION NO. 10/088015		INTERNATIONAL APPLN. NO. PCT/EP99/07000		ATTORNEY DOCKET NO. 2002-1003	
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21. <input checked="" type="checkbox"/> The following fees are submitted. BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5): Neither international preliminary examination fee nor international search fee paid to USPTO and international Search Report not prepared by the EPO or JPO\$1040.00 International preliminary examination fee not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00 International preliminary examination fee not paid to USPTO but International search fee paid to USPTO\$740.00 International preliminary examination fee paid to USPTO but all claims did not satisfy provision of PCT Article 33 (1)-(4)\$710.00 International preliminary examination fee paid to USPTO and all claims satisfied provision of PCT Article 33 (1)-(4)\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT Surcharge of \$130.00 for furnishing the oath or declaration than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)) <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> <th style="width: 20%;"></th> </tr> <tr> <td>Total Claims</td> <td>19 - 20 =</td> <td>0</td> <td>X \$18.00</td> <td>\$</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 =</td> <td>0</td> <td>X \$84.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPEND CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATION -</td> <td>\$ 1,020.00</td> <td></td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. </td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td>\$ 1,020.00</td> <td></td> </tr> <tr> <td colspan="4"> Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492Z(f)). </td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td>\$ 1,020.00</td> <td></td> </tr> <tr> <td colspan="4"> Fee for recording the enclosed assigned (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property + </td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED -</td> <td>\$ 1,020.00</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Amount to be refunded</td> <td>\$</td> </tr> <tr> <td colspan="4"></td> <td>Charged</td> <td>\$</td> </tr> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	19 - 20 =	0	X \$18.00	\$		Independent Claims	1 - 3 =	0	X \$84.00	\$		MULTIPLE DEPEND CLAIM(S) (if applicable)			+ \$280.00	\$		TOTAL OF ABOVE CALCULATION -				\$ 1,020.00		<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$	SUBTOTAL =				\$ 1,020.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492Z(f)).				\$		TOTAL NATIONAL FEE =				\$ 1,020.00		Fee for recording the enclosed assigned (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +				\$		TOTAL FEES ENCLOSED -				\$ 1,020.00						Amount to be refunded	\$					Charged	\$	CALCULATIONS PTO USE ONLY	
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☒ A Check in the amount of **\$1,020.00** to cover all fees is attached.

☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.

SEND ALL CORRESPONDENCE TO:
 745 South 23rd Street
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BC/ia
 Date: **March 14, 2002**

Benoit Castel
 SIGNATURE

Benoit Castel
 NAME

35,041
 REGISTRATION NO.

PATENT
2002-1003

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: Joachim LUH et al.

Appl. No.: **NEW NATIONAL PHASE
APPLICATION IN THE
UNITED STATES** Group:

Filed: March 14, 2002 Examiner:

For: CONTROL SYSTEM FOR CONTINUOUSLY VARIABLE
TRANSMISSION AND CONTINUOUSLY VARIABLE
TRANSMISSION WHEREIN SUCH IS UTILISED

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

March 14, 2002

Sir:

Prior to the first Official Action and calculation of the filing fee, the following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE SPECIFICATION:

Please substitute pages 2-4 as originally filed, with pages 2-4 and 4a as filed in the Article 34 amendment of November 27, 2001. Pages 2-4 and 4a are marked "AMENDED SHEET" and are attached hereto. Following the substitution of the above pages, please amend the specification as follows:

IN THE CLAIMS:

Please substitute claims 1-20 as originally filed, which appear on pages 13-19, with claims 1-19 as filed in the Article 34 amendment of November 27, 2001 and December 18, 2001. The pages containing claims 1-19 are marked "AMENDED SHEET" and are attached hereto. Following the insertion of claims 1-19, please amend the claims as follows:

Please amend the claims as follows:

3. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1, whereby an actual torque to be transmitted by the transmission (T_p) varies within a torque range ($T_{p,min}$; $T_{p,max}$) of possible values, **characterised in that** in a predominant part of said torque range ($T_{p,min}$; $T_{p,max}$) said torque signal (T_t) represents the actual torque to be transmitted (T_p) multiplied by a safety factor substantially equal to 1.3.

4. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1, **characterised in that** the relationship between the rate of change, RC, of the ratio of the rotational speed of said pulleys

(4, 5) and said clamping of the belt (1) between the pulley discs (8, 9, 10 and 11) is given by the equation:

$$RC = -M_{Nf/Ns} \cdot Ns \cdot Ff \cdot \left(KsKf - \frac{Fs}{Ff} \right)$$

wherein:

- $M_{Nf/Ns}$ is an experimentally determined (positive) parameter which varies with the ratio of the rotational speeds of said pulleys (4, 5),
- Ff is the force with which the drive belt (1) is clamped between the discs (8, 9) of the first pulley (4),
- Fs is the force with which the drive belt (1) is clamped between the discs (10, 11) of the second pulley (5),
- $KsKf$ is the ratio of said forces Fs and Ff at which said rate of change would be zero.

5. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised, according to claim 1, the control system (15, 16, 17, 18, 19, 20, 21) comprising an electronic control unit (17, 18, 19) with a first control module (17) generating a first control current (I_f) for operating said first valve (15), a second control module (18) generating a second control current (I_s) for operating the second valve (18) and a hydraulic circuit (15, 16, 20, 21) for allowing hydraulic medium to and from said first and said second piston/cylinder

assembly (12, 13), said circuit at least being provided with a first valve (15), a second valve (16), a pump (20) and a reservoir for hydraulic medium (21), **characterised in that,**

- said modules (17, 18) are capable of mutually providing each other with at least one signal (S_f , S_s),
- in that a control module (17 or 18) incorporates a mathematical representation of a mass balance of the hydraulic circuit (15, 16, 20, 21)
- and in that said control module (17 or 18) is capable of outputting a response signal representing a control current (I_f or I_s) required for effecting a desired pressure response of the hydraulic circuit (15, 16, 20, 21) based on said mathematical representation.

7. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 5, the pump (20) circulating a variable flow (Q_{op}) of hydraulic medium through the hydraulic circuit (15, 16, 20, 21), **characterised in that,** said mathematical representation takes into account at least said flow generated by the pump (Q_{op}), the amount of flow through the valves (Q_{sa} , $Q_{sp}+Q_{pa}$) and the amount of flow to and/or from the piston/cylinder assemblies (Q_{fp} and/or Q_{sp}).

9. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 5,

wherein the first valve (15) determines the cylinder pressure (P_f) in the first piston/cylinder assembly (12) and wherein the second valve (16) determines a cylinder pressure (P_s) in the second piston cylinder assembly (13), **characterised in that**, the first valve (15) is a pressure control valve (15), which controls the maximum pressure in the hydraulic circuit by controlling a flow (Q_{sa}) from the pump (20) to a reservoir (21) for hydraulic medium and in that the second valve (16) is a flow control valve (16), which controls flow (Q_{sp}) from the pump (20) to the second piston/cylinder assembly (13) and the flow (Q_{pa}) from the second piston/cylinder assembly (13) to said reservoir (21).

12. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 9, **characterised in that**, the first control module (17) is capable of controlling said clamping of the drive belt (1) by generating a control current (I_f) for controlling the first valve (15) so that the cylinder pressure (P_f) in the piston/cylinder assembly (12) of the first pulley (4) is equal to the highest value of either:

- a minimum pressure ($P_{f,min}$) at which torque transmission between the first pulley (4) and the drive belt (1) occurs virtually without mutual movement, or
- a minimum pressure required for the cylinder pressure (P_s) in the piston/cylinder assembly (13) of the second variable pulley

(5) to become equal to a minimum cylinder pressure ($P_{s,min}$) at which torque transmission between the second pulley (5) and the drive belt (1) occurs virtually without mutual movement, or

- a minimum pressure ($P_{ff,min}$) at which the ratio of the rotational speed of the first and the second pulley (4, 5) decreases at a desired rate of change, or
- a minimum pressure required for the cylinder pressure (P_s) in the piston/cylinder assembly (13) of the second variable pulley (5) to become equal to a further minimum cylinder pressure ($P_{sf,min}$) at which the ratio of the rotational speed of the first and the second pulley (4, 5) increases at a desired rate of change.

13. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1, **characterised in that**, the control system (15, 16, 17, 18, 19, 20, 21) is provided with change rate restriction means for reducing said desired rate of change of the ratio of the rotational speed of said pulleys (4, 5).

16. (amended) Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1 wherein the cylinder pressure (P_f) in the first piston/cylinder assembly (12) is measured by means of a pressure sensor, **characterised in that**, the control system (15, 16, 17, 18, 19,

20, 21) is provided with calculating means for calculating the cylinder pressure (P_s) in the second piston/cylinder assembly (13) based signals at least representing said cylinder pressure (P_f) in the first piston/cylinder assembly (12), the rotational speed of the first pulley (4) and the rotational speed of the second pulley (5).

17. (amended) Continuously variable transmission provided with a control system (15, 16, 17, 18, 19, 20, 21) according to claim 1.

REMARKS

The substitution of claims 1-19 and pages 2-4 and 4a of the specification has been done to merely place this national phase application in into the same condition as it was during Chapter II of the International Phase.

Entry of the above amendments is earnestly solicited. An early and favorable first action on the merits is earnestly requested.

Should there be any matters that need to be resolved in the present application, the Examiner is respectfully requested to contact the undersigned at the telephone number listed below.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17.

Respectfully submitted,

YOUNG & THOMPSON



Benoit Castel, Reg. No. 35,041

745 South 23rd Street
Arlington, VA 22202
Telephone (703) 521-2297

BC/ia
Attachments

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE CLAIMS:

The claims have been amended as follows:

3. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1 ~~or 2~~, whereby an actual torque to be transmitted by the transmission (T_p) varies within a torque range ($T_{p,min}; T_{p,max}$) of possible values, **characterised in that** in a predominant part of said torque range ($T_{p,min}; T_{p,max}$) said torque signal (T_t) represents the actual torque to be transmitted (T_p) multiplied by a safety factor substantially equal to 1.3.

4. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1,2 ~~or 3~~, **characterised in that** the relationship between the rate of change, RC , of the ratio of the rotational speed of said pulleys (4, 5) and said clamping of the belt (1) between the pulley discs (8, 9, 10 and 11) is given by the equation:

$$RC = -M_{Nf/Ns} \cdot N_s \cdot F_f \cdot \left(K_s K_f - \frac{F_s}{F_f} \right)$$

wherein:

- $M_{NF/NS}$ is an experimentally determined (positive) parameter which varies with the ratio of the rotational speeds of said pulleys (4, 5),
- F_f is the force with which the drive belt (1) is clamped between the discs (8, 9) of the first pulley (4),
- F_s is the force with which the drive belt (1) is clamped between the discs (10, 11) of the second pulley (5),
- K_sK_f is the ratio of said forces F_s and F_f at which said rate of change would be zero.

5. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised, according to ~~any of the preceding claims, claim 1,~~ the control system (15, 16, 17, 18, 19, 20, 21) comprising an electronic control unit (17, 18, 19) with a first control module (17) generating a first control current (I_f) for operating said first valve (15), a second control module (18) generating a second control current (I_s) for operating the second valve (18) and a hydraulic circuit (15, 16, 20, 21) for allowing hydraulic medium to and from said first and said second piston/cylinder assembly (12, 13), said circuit at least being provided with a first valve (15), a second valve (16), a pump (20) and a reservoir for hydraulic medium (21), **characterised in that,**

- said modules (17, 18) are capable of mutually providing each other with at least one signal (S_f , S_s),

- in that a control module (17 or 18) incorporates a mathematical representation of a mass balance of the hydraulic circuit (15, 16, 20, 21)

- and in that said control module (17 or 18) is capable of outputting a response signal representing a control current (I_f or I_s) required for effecting a desired pressure response of the hydraulic circuit (15, 16, 20, 21) based on said mathematical representation.

7. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 5 ~~or 6~~, the pump (20) circulating a variable flow (Q_{op}) of hydraulic medium through the hydraulic circuit (15, 16, 20, 21), **characterised in that**, said mathematical representation takes into account at least said flow generated by the pump (Q_{op}), the amount of flow through the valves (Q_{sa} , $Q_{sp}+Q_{pa}$) and the amount of flow to and/or from the piston/cylinder assemblies (Q_{fp} and/or Q_{sp}).

9. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to ~~any one of the claims 5 - 8~~, claim 5, wherein the first valve (15) determines the cylinder pressure (P_f) in the first piston/cylinder assembly (12) and wherein the second valve (16) determines a cylinder pressure (P_s) in the second piston cylinder assembly (13),

characterised in that, the first valve (15) is a pressure control valve (15), which controls the maximum pressure in the hydraulic circuit by controlling a flow (Q_{sa}) from the pump (20) to a reservoir (21) for hydraulic medium and in that the second valve (16) is a flow control valve (16), which controls flow (Q_{sp}) from the pump (20) to the second piston/cylinder assembly (13) and the flow (Q_{pa}) from the second piston/cylinder assembly (13) to said reservoir (21).

12. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 9,~~10~~
~~11,~~ **characterised in that,** the first control module (17) is capable of controlling said clamping of the drive belt (1) by generating a control current (I_f) for controlling the first valve (15) so that the cylinder pressure (P_f) in the piston/cylinder assembly (12) of the first pulley (4) is equal to the highest value of either:

- a minimum pressure ($P_{f,min}$) at which torque transmission between the first pulley (4) and the drive belt (1) occurs virtually without mutual movement, or
- a minimum pressure required for the cylinder pressure (P_s) in the piston/cylinder assembly (13) of the second variable pulley (5) to become equal to a minimum cylinder pressure ($P_{s,min}$) at which torque transmission between the second pulley (5) and the drive belt (1) occurs virtually without mutual movement, or

- a minimum pressure ($P_{ff,min}$) at which the ratio of the rotational speed of the first and the second pulley (4, 5) decreases at a desired rate of change, or
- a minimum pressure required for the cylinder pressure (P_s) in the piston/cylinder assembly (13) of the second variable pulley (5) to become equal to a further minimum cylinder pressure ($P_{sf,min}$) at which the ratio of the rotational speed of the first and the second pulley (4, 5) increases at a desired rate of change.

13. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to ~~any of the preceding claims~~, claim 1, **characterised in that**, the control system (15, 16, 17, 18, 19, 20, 21) is provided with change rate restriction means for reducing said desired rate of change of the ratio of the rotational speed of said pulleys (4, 5).

16. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to ~~any of the preceding claims~~ claim 1 wherein the cylinder pressure (P_f) in the first piston/cylinder assembly (12) is measured by means of a pressure sensor, **characterised in that**, the control system (15, 16, 17, 18, 19, 20, 21) is provided with calculating means for calculating the cylinder pressure (P_s) in the second piston/cylinder assembly (13) based signals at least representing

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said cylinder pressure (Pf) in the first piston/cylinder assembly (12), the rotational speed of the first pulley (4) and the rotational speed of the second pulley (5).

17. Continuously variable transmission provided with a control system (15, 16, 17, 18, 19, 20, 21) according to ~~any of the preceding claims.~~claim 1.

CONTROL SYSTEM FOR CONTINUOUSLY VARIABLE TRANSMISSION AND
CONTINUOUSLY VARIABLE TRANSMISSION WHEREIN SUCH IS UTILISED

The invention relates to a control system for a continuously variable transmission
5 or CVT and a CVT wherein such is utilised according to the preamble of claim 1.

Such control system and CVT are generally known, for example from the
European patent publication EP-A-0.451.887. The CVT comprises a first rotatable pulley
and a second rotatable pulley both provided with two pulley discs and with a
piston/cylinder assembly for urging the pulley discs towards each other under the
10 influence of a hydraulic cylinder pressure in said piston cylinder assembly. The pulley
discs exert a clamping force on a drive belt, which is located between said pulley discs,
such that torque transmission between said pulleys and said drive belt is enabled. The
clamping force and the coefficient of friction between drive belt and pulley discs
determine the maximum torque at which said torque transmission occurs virtually
15 without mutual movement of drive belt and pulley discs, i.e. without belt slip. The ratio
between the cylinder pressure in the piston/cylinder assembly of the first pulley and that
in the piston/cylinder assembly of the second pulley determines the transmission ratio,
i.e. the ratio between a rotational speed of the first and second pulley. The control
system is capable of both clamping force control and transmission ratio control through
20 determining the cylinder pressure for each of said pulleys. To this end the known control
system is provided with a hydraulic circuit connecting the piston/cylinder assemblies to a
pump and a reservoir for hydraulic medium and comprising two electronically
controllable valves. The control system is furthermore provided an electronic control unit
generating a respective control current for each valve in the hydraulic circuit at least
25 based on a torque to be transmitted and on the rotational speed of the pulleys.

In the known control system the electronic control unit comprises two
independently operating modules. A first module takes care of the clamping force control
by setting the cylinder pressure in the piston/cylinder assembly of the first pulley through
generating a first control current operating a first valve. Since the mechanical efficiency
30 of the CVT decreases with increasing clamping force, the control system is usually
arranged such that the cylinder pressure in the piston/cylinder assembly of the first
pulley is maintained at a lowest possible level without belt slip occurring. Thereto the
control system determines the minimum cylinder pressure in the first piston/cylinder
assembly needed to prevent slip of the drive belt based on a torque to be transmitted by
35 the transmission. In the known art said torque to be transmitted by the transmission is
approximated on the safe side by adding to the actual torque level a value of 0.3 times

the maximum possible torque level. Thus, the safety factor with which the actual torque level is multiplied to calculate the torque to be transmitted by the transmission is thus 1.3 at the maximum possible torque to be transmitted, but increases rapidly with a decreasing level of the torque to be transmitted. The technical effect of applying a safety factor being that belt slip is prevented in a manner and at a level practically satisfactory, even during abrupt and/or unpredicted changes in the torque to be transmitted. A second module takes care of the transmission ratio control by setting the cylinder pressure in the piston/cylinder assembly of the second pulley through generating a second control current operating a second valve.

It is an object of the invention to provide for a solution to the desire to improve the performance and efficiency of the CVT by lowering the safety factor while still effectively preventing slip of drive belt.

Although, in general the known control system provides a simple and stable CVT control, it was found that after prolonged use, drive belts can show an unexpected amount of wear having a slight adverse effect on the durability of the CVT. It is a further object of the present invention to identify the problem underlying unexpected wear of the drive belt and to provide for a solution, thereby improving the durability of the CVT.

It was found that said wear is due to the occurrence of mutual movement of drive belt and pulley discs, i.e. belt slip, which suggested that during operation of the CVT situations can occur wherein the clamping force control is unable to adequately prevent belt slip. So, even though in the known art a relatively large safety factor is applied to the value for the torque to be transmitted, belt slip still remains a problem. These practical findings suggest that it is not possible to lower the safety factor without compromising the durability of the drive belt.

From US-A-5.707.314 a control system is known that is characterised by the feature that it determines a minimum cylinder pressure to prevent belt slip not only for the first pulley, but also for both for the first and the second pulley. In effect this means the clamping forces of both pulley are explicitly calculated. Based on these minimum cylinder pressures and on the ratio between the rational speeds of the first and second pulley, i.e. the transmission ratio, the control system then determines and controls both cylinder pressures to be equal, or higher than, the respective minimum cylinder pressure. With such system belt slip both on the first and second pulley may be prevented in most operational conditions.

However, according to the invention, it appeared that belt slip only occurred at a high rate of change of the transmission ratio. It was furthermore found that said belt slip occurs between the pulley discs of a specific pulley and more in particular, in case of the

CVT known from EP-A-0.451.887, the pulley discs of the second pulley, being the pulley used to control the transmission ratio.

According to the invention the control system and CVT having the features defined in the characterising portion of claim 1 advantageously prevents the occurrence of belt slip and in doing so provides for a CVT with an improved durability and efficiency. The control system according to the invention is characterised by the feature that it determines a minimum cylinder pressure to prevent belt slip not only for the first pulley, but also for both for the first and the second pulley. In effect this means the clamping forces of both pulley are explicitly calculated. Based on these minimum cylinder pressures and on the ratio between the rotational speeds of the first and second pulley, i.e. the transmission ratio, the control system then determines and controls both cylinder pressures to be equal, or higher than, the respective minimum cylinder pressure. In this manner it is realised that at all times the belt slip both between the pulley discs of the first and of the second pulley is effectively prevented. Although in most operational circumstances the minimum cylinder pressure in the first piston/cylinder assembly will be the most critical in respect to belt slip, the control system according to the invention will also prevent belt slip if the minimum cylinder pressure in the second piston/cylinder assembly is the most critical such as at a high rate of change of the transmission ratio.

It is noted that, in contrast to the state of the art where only one cylinder pressure is actively controlled, the control system according to the invention determines both the cylinder pressure in the first and in the second piston cylinder assembly. It is common practice to use a feedback loop, wherein the actual value of a variable is fed back into a control system, when determining said variable. Therefore, it would appear that the control system according to the invention needs to be provided with two pressure sensors, one for each cylinder pressure. However, according to the invention, sufficient accuracy may be obtained, if only one cylinder pressure is measured using a pressure sensor and the other cylinder pressure is calculated from said measured cylinder pressure and the rotational speed of the pulleys as well as some transmission parameters. The cost of an additional pressure sensor thus being avoided.

Another advantage of the invention is that said approximation of the torque to be transmitted by the transmission may be made less safe compared to the known art without compromising the durability of the CVT. It was found that, when using the control system according to the invention, the torque to be transmitted by the transmission may be approximated by taking the level of the actual torque for each of said first and second pulley multiplied by a safety factor which is equal to 1.3 in a predominant part of a range of actual torque levels. The of specific size of said part depending on the transmission

ratio. In this manner a significant improvement of the overall efficiency of the transmission may be achieved.

According to an elaboration of the invention a cylinder pressure is maintained at a substantially higher level when a rate of change of the transmission ratio is relatively large than when said transmission ratio is constant. This may for example be effected by increasing the safety factor with an increasing rate of change of the transmission ratio. Since it was found that belt slip mainly occurs during a changing transmission ratio, the technical effect of this measure is that belt slip is prevented and that the performance and durability of the CVT is improved. According to the invention the relationship between the rate of change, RC, of the transmission ratio is given by the following equation:

$$RC = -M_{Nf/Ns} \cdot Ns \cdot Ff \cdot \left(KsKf - \frac{Fs}{Ff} \right)$$

wherein:

- $M_{Nf/Ns}$ is an experimentally determined (positive) parameter which varies with the ratio of the rotational speeds of said pulleys (4, 5),
- Ff is the force with which the drive belt (1) is clamped between the discs (8, 9) of the first pulley (4),
- Fs is the force with which the drive belt (1) is clamped between the discs (10, 11) of the second pulley (5),
- $KsKf$ is the ratio of said forces Fs and Ff at which said rate of change would be zero.

From the equation it may be concluded that, if the transmission ratio defined as the rotational speed of the first pulley divided by the rotational speed of the second pulley increases, a desired rate of change of the transmission ratio may only be achieved at a certain minimum Fs and thus at a certain further minimum cylinder pressure in the piston/cylinder assembly of the second pulley. If the transmission ratio decreases, a desired rate of change of the transmission ratio may only be achieved at a certain minimum Ff and thus at a certain further minimum cylinder pressure in the piston/cylinder assembly of the first pulley. Thus, if the control system of the CVT is provided with an input signal representing a desired rate of change of the transmission ratio, a further minimum cylinder pressure may be determined either for the first or for the second pulley using the said equation. Based on this further minimum cylinder pressure, on said minimum cylinder pressures and on the transmission ratio, the control system may then determine and control both cylinder pressures to be equal to, or higher than, the respective minimum and/or further minimum cylinder pressures. In this manner

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it is at all times possible to effectively prevent belt slip both between the pulley discs of the first and of the second pulley and to reliably achieve a desired rate of change of the transmission ratio.

5 According to a further development of the invention, the control system is provided with change rate restriction means that are capable restricting the rate of change of the transmission ratio in case the flow of hydraulic medium delivered by the pump is insufficient to maintain the desired cylinder pressures. Said change rate restriction means may be able to determine the difference between the actual flow of hydraulic medium delivered by the pump and the flow required to achieve said further minimum

cylinder pressure and thus to achieve said desired rate of change. Said change rate restriction means are then arranged such that, as long as said difference is negative, the desired rate of change is reduced. Alternatively, the change rate restriction means may be able to determine whether the hydraulic system is capable of controlling an actual
5 cylinder pressures to a desired level. If the change rate restriction means detect that the actual cylinder pressure does not reach the desired level, the desired rate of change is reduced. The change rate restriction means ensure that the hydraulic system is at all times capable of generating said further minimum cylinder pressure and belt slip is prevented even when the originally desired rate of change of the transmission ratio
10 would yield belt slip.

As is known in the art, the response of the hydraulic system and of rotating parts of the transmission to a change in the control currents generated by the electronic control unit is often non-linear. Because of said non-linear response the control system is usually unable to accurately control the cylinder pressures to their respective desired
15 level. This non-linear behaviour has an adverse effect on the accuracy with which the cylinder pressures may be controlled and therewith on the efficiency of the transmission. It is, for instance, not feasible to use the integrating action of a PID-regulator due to said non-linear behaviour. Fast changes of the transmission ratio are difficult or even impossible to achieve reliably, because for in this case relatively large differences
20 between the cylinder pressure of the first and of the second pulley are needed, in which case the non-linear behaviour is even more pronounced. This is especially important if a relatively small safety factor is adopted, as is allowed by control system according to the invention. It is therefore advantageous for the control system to be able to account for the non-linear response of the transmission. To this end the control system may be
25 provided with linearisation module containing a mathematical representation of a mass balance of the hydraulic system. Such a mass balance may be construed in a known manner from the flow of hydraulic medium circulated by the pump, the flow characteristic of the valves, and the flow to and from the piston/cylinder assemblies when the transmission ratio changes. Incorporating leakage flows and a value for the
30 compressibility of the hydraulic medium in said mass balance can improve the accuracy of the control system even further. The mathematical representation of the mass balance is incorporated in the linearisation module of the control system such that the system can determine one or more control current required for effecting a desired pressure response in the hydraulic circuit. In a further development of the invention the
35 mathematical representation is contained in a sub-unit which is physically separable

- given by the minimum cylinder pressure in the piston/cylinder assembly of the first pulley, or
- given by said desired ratio of the first and second cylinder pressures and a minimum cylinder pressure in the piston/cylinder assembly of the second pulley, or

- given by the further minimum cylinder pressure in the piston/cylinder assembly of the first pulley, or
- given by said desired ratio of the first and second cylinder pressures and a further minimum cylinder pressure in the piston/cylinder assembly of the second pulley.

5 Based on a pressure difference signal representing the difference between said highest cylinder pressure of the first pulley and the actual cylinder pressure of the first pulley measured by means of a pressure sensor and on the valve pressure/current characteristic, an appropriate control current for operating the first valve is generated by the first module. The second control module determines the transmission ratio by
10 controlling the rotational speed of the second pulley based on a speed difference signal representing the difference between a measured rotational speed of the second pulley and a desired rotational speed of the second pulley which is calculated from the measured rotational speed of the first pulley and the desired transmission ratio. The first and second control modules are capable of mutually providing each other with a signal
15 at least representing the cylinder pressure in the first piston cylinder assembly and said desired rotational speed of the second pulley. This elaboration of the invention has the advantage, that the control system may be used in combination with the so called Master/Slave hydraulic layout which is widely used in contemporary CVTs and provides for a relatively simple and cost effective transmission control.

20 According to the invention the CVT is suited for application in a motor vehicle having an engine with an engine shaft and a drive shaft for driving driven wheels of the vehicle, in which case it is preferred that the first pulley is drivingly connected to the first pulley and the engine shaft is drivingly connected to the second pulley. The control system and CVT according to the invention are particularly suited for use in combination
25 with an adjustable clutch applied in the torque path between engine and driven wheels, which clutch is adjusted to be capable of transmitting a maximum amount of torque, said maximum amount of torque being smaller than a torque transmittable by the continuously variable transmission without relative movement between the drive belt and the pulley discs. The technical effect of such a combination of adjustable clutch and CVT
30 according to the invention being that belt slip virtually eliminated and that a small safety factor may be applied improving the fuel efficiency of the motor vehicle.

The invention will now be elucidated further with reference to the figures.

Figure 1 shows a mechanical layout of a CVT according to the prior art.

Figure 2 shows a electro-hydraulic control system according to the prior art.

35 Figures 3 through 5 are schematic representations of control systems according to the invention.

Figure 6 shows a graph of the safety factor in relation to the actual torque.

Figure 7 shows a CVT in a vehicular drive line.

In figure 1 a schematic representation of a CVT is shown. The CVT comprises a first rotatable pulley 4 and a second rotatable pulley 5 both provided with two pulley discs 8, 9 and 10, 11 respectively as well as a piston/cylinder assembly 12, 13 respectively for urging the pulley discs 8, 9, 10 and 11 towards each other under the influence of a hydraulic cylinder pressure P_f and P_s respectively in said piston cylinder assembly 12, 13 respectively. The pulley discs 8, 9, 10 and 11 exert a clamping force on a drive belt 1, which is located between said pulley discs 8, 9, 10 and 11, such that torque transmission between said pulleys 4 and 5 and said drive belt is enabled. The cylinder pressures P_f and P_s are maintained by allowing hydraulic medium to and from the respective piston cylinder assembly 12 and 13 via channels 6 and 7. The clamping force and the coefficient of friction between the pulley discs 8, 9, 10, and 11 and the drive belt 1 determine the maximum torque at which said torque transmission occurs virtually without mutual movement of drive belt 1 and pulley discs 8, 9, 10 and 11. The ratio between the cylinder pressure P_f and P_s determines the transmission ratio, i.e. the ratio between a rotational speed of said pulleys 4 and 5. The CVT is thus capable of transmitting an amount of torque from the first pulley 4 to the second pulley 5 at a transmission ratio which is continuously variable within a range of possible transmission ratios. Such CVTs are widely used in particular in automotive applications.

The electro-hydraulic control system 15, 16, 17, 18, 20, 21 shown in figure 2 is generally known as the Master/Slave hydraulic layout, since at any given time the cylinder pressure P_s in the piston/cylinder assembly 13 of the second or slave pulley 5 is bound by the level of the cylinder pressure P_f in the piston/cylinder assembly 12 of the first or Master pulley 4. The piston/cylinder assembly 13 of the second pulley 5 has a larger piston surface area than that of the first pulley 4, so that, although the pressure ratio P_s/P_f is 1 at most, the ratio of the clamping force exerted by the first pulley and the clamping force of the second pulley 5 may become larger than 1. Usually the ratio between the piston surfaces of the second pulley 5 and the first pulley 4 is about 2 to 2.5. The main functions of the control system 15, 16, 17, 18, 20, 21 are to, on the one hand, control the cylinder pressures P_f and P_s in the first and second piston/cylinder assemblies 12, 13, so that a desired speed ratio of the rotational speeds of the pulleys 4 and 5 is maintained, i.e. ratio control, and to, on the other hand, ensure that torque can be transmitted between the drive belt 1 and the first and second pulleys 4 and 5 virtually without mutual relative movement, i.e. clamping force control.

The control system 15, 16, 17, 18, 20, 21 is provided with a hydraulic circuit 15, 16, 20, 21 having two valves 15 and 16 as well as with an electronic control unit 17, 18, 19 comprising two control modules for generating control currents I_f and I_s to operate said valves 15 and 16. A Pump 20 is present for generating a flow of hydraulic medium from a reservoir 21. The valves 15 and 16 determine the cylinder pressure P_f and P_s in the first and in the second piston/cylinder assembly 12 and 13 respectively by allowing hydraulic medium from the pump 20 to said assemblies 12 and 13. Excess medium in the hydraulic system is discharged into said reservoir 21. In the known art, the electronic control unit 17, 18 has a first control module 17 which performs the clamping force control and a second control module 18 which performs the ratio control. The clamping force control and the ratio control are completely independent, so that a simple and adjustable control system 15, 16, 17, 18, 20, 21. Such an approach, however, has the disadvantage mentioned in the above.

Figures 3 through 5 show schematic representations of the control system 15, 16, 17, 18, 19, 20, 21 according to the invention. It is noted that these specific representations are intended only for clarification of the invention. In practice the control system 15, 16, 17, 18, 19, 20, 21 according to the invention may be effected in several ways.

In figure 3 a graphical representation of the main function blocks I, II, III and IV which may be recognised in the control system 15, 16, 17, 18, 19, 20, 21 according to claim 1 is shown. Block I has inputs for three signals, being a signal N_f representing the rotational speed of the first pulley 4, a signal N_s representing the rotational speed of the second pulley 5 and a signal T_t representing the torque to be transmitted by the transmission. From these three signals a minimum first cylinder pressures $P_{f,min}$ and a minimum second cylinder pressure $P_{s,min}$ required for torque transmission between the drive belt 1 and the pulleys 4 and 5 substantially without mutual relative movement are determined. Constants such as a coefficient of friction of the contact between pulley disc 8, 9, 10 or 11 and the drive belt 1 and a surface areas of pistons of the piston/cylinder assemblies 12, 13 are taken into account in a manner known to the man skilled in the art. Subsequently, in block II the cylinder pressures P_f and P_s are selected at least such that they are larger than the minimum required cylinder pressures $P_{f,min}$ and $P_{s,min}$ respectively. Finally, in block III, the control modules generates the appropriate control currents I_f and I_s for controlling said valves so that the cylinder pressure of the first pulley 4 is equal to P_f and that the cylinder pressure of the second pulley 5 is equal to P_s . The selection of P_f and P_s made in block II usually also has to satisfy the requirement that a certain transmission ratio is obtained or maintained. To this end block

IV may be present. In block IV the cylinder pressure ratio P_f/P_s of the first cylinder pressure P_f and of the second cylinder pressure P_s is determined based on said signals N_f , N_s and T_t as well as a signal N_f/N_s representing the ratio of the rotational speeds of said pulleys 4 and 5. In block II the pressures P_f and P_s may now be selected by making
5 either P_f equal to $P_{f,min}$ or P_s equal to $P_{s,min}$ and by selecting the other cylinder pressure P_s or P_f respectively so that said required cylinder pressure ratio P_f/P_s is obtained. For efficiency reasons it is desirable to select the lowest possible cylinder pressures P_f and P_s , so that it may be concluded that, if the ratio of the cylinder pressure P_f of the first pulley 4 and of the cylinder pressure P_s of the second pulley 5 is
10 larger than 1 P_s is made equal to $P_{s,min}$ and if the latter ratio is smaller than 1 P_f is made equal to $P_{f,min}$.

In figure 4 a graphical representation of the main functional blocks I, II, III, IV and V which may be recognised in the control system 15, 16, 17, 18, 19, 20, 21 according to claim 4 is shown. In figure 4 function block V has been added to the function blocks I, II,
15 III and IV shown in figure 3. Block V has a signal ROC representing a desired rate of change for the transmission ratio as an input. As was explained in the above, in order to achieve a certain rate of change of the transmission ratio a further minimum cylinder pressure $P_{ff,min}$, $P_{sf,min}$ respectively can be determined for cylinder pressure P_s , P_f respectively in the piston/cylinder assembly 12, 13 respectively of the pulley 4 or 5 which
20 rotational speed decreases during a change of the transmission ratio. Subsequently, in block II the cylinder pressures P_f and P_s are selected at least such that they are larger than both the minimum required cylinder pressures $P_{f,min}$, $P_{s,min}$ respectively and the further minimum $P_{ff,min}$ or $P_{sf,min}$. Again, the cylinder pressure P_f and P_s must also satisfy a cylinder pressure ratio P_f/P_s as prescribed by block IV.

25 Figure 5 shows a graphical representation of the electronic control unit 17, 18, 19 of a control system 15, 16, 17, 18, 19, 20, 21 particularly suited for use in combination with the so called Master/Slave hydraulic layout. The electronic control unit 17, 18, 19 comprises separate control modules 17 and 18 for clamping force control and for transmission ratio control. The first control module 17 controls the clamping force and is
30 capable of generating a first control current I_f for operating the first valve 15 of the Master/Slave hydraulic system and the second control module 18 controls the transmission ratio and is capable of generating a second control current I_s for operating the second valve 16. The second control module 18 incorporates both a PI-regulator having a linear response and a linearisation module 19, so that the control of the
35 cylinder pressure P_s of the second pulley 5 is more accurate and the transmission ratio is controllable with a high degree of accuracy. The first control module 17 determines

the minimum cylinder pressures $P_{f,min}$ and $P_{s,min}$ and said further minimum cylinder pressure $P_{ff,min}$ or $P_{sf,min}$, as well as a ratio of the first and second cylinder pressures P_f/P_s , based on torque signal T_t representing a torque to be transmitted by the transmission T_p multiplied by a safety factor S_f , signals N_f and N_s , respectively
 5 representing the rotational speed of the pulleys, and a signal ROC , representing a desired rate of change of the transmission ratio. Subsequently, the first control module 17 selects the cylinder pressure P_f for the first pulley 4 to be the highest $HP_{f,min}$ of a cylinder pressure:

- given by the minimum cylinder pressure $P_{f,min}$ in the piston/cylinder assembly 12 of the first pulley 4, or
 10 - given by said ratio of the first and second cylinder pressures P_f/P_s and a minimum cylinder pressure $P_{s,min}$ in the piston/cylinder assembly 13 of the second pulley 5, or
- given by the further minimum cylinder pressure $P_{ff,min}$ in the piston/cylinder assembly 12 of the first pulley 4, or
 15 - given by said ratio of the first and second cylinder pressures P_f/P_s and a further minimum cylinder pressure $P_{sf,min}$ in the piston/cylinder assembly 13 of the second pulley 5.

Based on a pressure difference signal representing the difference between said highest cylinder pressure $HP_{f,min}$ of the first pulley 4 and the actual cylinder pressure
 20 $P_{f,a}$ of the first pulley 4, measured by means of a pressure sensor, and on the pressure/current characteristic of the first valve 15, an appropriate control current I_f for operating the first valve 15 is generated by the first module 17.

The second control module 18 determines the transmission ratio by controlling the rotational speed of the second pulley based on a speed difference signal representing
 25 the difference between a measured rotational speed N_s of the second pulley 5 and a desired rotational speed $N_{s,d}$ of the second pulley 5 which is calculated from the measured rotational speed N_f of the first pulley 4 and the desired transmission ratio $N_f/N_{s,d}$. The first and second control modules 17 and 18 are capable of mutually providing each other with a signal S_f and S_s at least representing the cylinder pressure
 30 P_f in the first piston cylinder assembly 12 and said desired rotational speed $N_{s,d}$ of the second pulley 5.

Figure 6 is a graph illustrating the dependency of the torque level represented by a torque signal T_t that is equal to an actual torque to be transmitted T_p multiplied by a safety factor S_f . The line $T=T_p$ represents the actual torque to be transmitted by the
 35 transmission. The line marked ' T_t , prior art' represents the torque level represented by the signal T_t according to the prior art. After dividing T_t by T_p the dotted line is found that

represents a safety factor S_f according to the prior art. It can be seen that only at the maximum torque $T_{p,max}$ said safety factor S_f is equal to 1.3.

According to the invention the safety factor S_f is constant and equal to 1.3 in a large part of the range of possible torque values from $T_{p,min}$ through to $T_{p,max}$ as is
5 illustrated in figure 6. The torque level represented by the signal T_t is thus found by multiplying T_p with 1.3. At torque values below T_g the safety factor S_f according to the invention is made to increase rapidly, so that the product of S_f and T_p remains essentially constant at 1.3 times T_g . The latter is necessary, because inevitable
10 disturbances in the actual torque to be transmitted T_p have an absolute character, so that their influence is particularly significant in the lower part of said range of possible torque values. The strategy for the level of the safety factor according to the invention allows for a relatively simple determination of the torque signal T_t . From figure 6 it appears that on average the safety factor S_f according to the invention is smaller than the safety factor according to the prior art. This effect of this being that on average the
15 clamping forces are smaller and the CVT efficiency is improved as a consequence.

Figure 7 shows a schematic representation of the application of a CVT in the drive line of a motor vehicle. The motor vehicle is provided with an engine 22 having an engine shaft 23. The engine shaft 23 being drivingly connected to the second pulley 5 of a CVT. The first pulley 4 of the CVT is drivingly connected to a drive shaft 24 for driving
20 the driven wheels 25 of the motor vehicle. During operation of the motor vehicle driving power is transmitted from the engine 22 through the drive belt 1 to the driving wheels, whereby the CVT is capable continuously varying the ratio of drive shaft torque and drive shaft rotational speed. An adjustable clutch 26 may be adopted in the drive line at a location between the engine 22 and driven wheels 25. If the clutch is adjusted to be
25 able to transmit an amount of torque which is somewhat smaller than the torque to be transmitted by the transmission T_p , the occurrence of belt slip due to unexpected changes in a drive line torque level is effectively avoided. Such unexpected changes may originate from the driven wheels 25, for example when they run over a bump or a hole in a road surface.

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5 **Applicant's reference:** PS997/WO

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10 **AMENDED CLAIMS**

1. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised, the continuously variable transmission comprising a drive belt (1) located between pulley discs (8, 9, 10 and 11) of a first pulley (4) and of a second pulley (5), the pulleys (4, 5) being rotatable at a rotational speed and being operable by means of a first and a second piston/cylinder assembly (12, 13) respectively, and the control system (15, 16, 17, 18, 19, 20, 21) controls the respective cylinder pressure (Pf or Ps) in said first and second piston/cylinder assemblies (12, 13), at least based on a torque signal (Tt) representing the torque to be transmitted by the transmission and two speed signals (Nf, Ns) representing the rotational speeds (Nf, Ns) of said first and second pulley (4, 5), for clamping said drive belt (1) between said discs (8, 9, 10 and 11) thereby enabling torque transmission between said pulleys (4, 5) and said drive belt (1), wherein during operation of the transmission the control system (15, 16, 17, 18, 19, 20, 21):
- 25 - determines a minimum cylinder pressure (Pf,min, Ps,min) for each of said first and said second piston/cylinder assembly (12, 13), the determination of said minimum cylinder pressures (Pf,min, Ps,min) occurring on a continuous basis, at which minimum cylinder pressure (Pf,min, Ps,min) said torque transmission occurs virtually without mutual movement of the drive belt (1) and the respective pulley discs (8, 9 and 10, 11),
 - 30 - determines a further minimum cylinder pressure (Pff,min or Psf,min) in one of said first and second piston cylinder assembly (12, 13) based on a rate of change signal (ROC) representing a desired rate of change of the ratio of the rotational speeds of said pulleys (4, 5), at which further minimum cylinder pressure (Pff,min or Psf,min) said desired rate of change may be achieved, and
 - 35 - controls the respective cylinder pressure (Pf or Ps) for the respective piston/cylinder assembly (12 or 13) to a level which is at any time equal to, or higher than, both of the

respective minimum cylinder pressure ($P_{f,min}$, $P_{s,min}$) and of the respective further minimum cylinder pressure ($P_{ff,min}$ or $P_{sf,min}$).

2. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1, the continuously variable transmission comprising a drive belt (1) located between pulley discs (8, 9, 10 and 11) of a first pulley (4) and of a second pulley (5), the pulleys (4, 5) being rotatable at a rotational speed and being operable by means of a first and a second piston/cylinder assembly (12, 13) respectively, and the control system (15, 16, 17, 18, 19, 20, 21) controls the respective cylinder pressure (P_f or P_s) in said first and second piston/cylinder assemblies (12, 13), at least based on a torque signal (T_t) representing the torque to be transmitted by the transmission and two speed signals (N_f , N_s) representing the rotational speeds of said first and second pulley (4, 5), for clamping said drive belt (1) between said discs (8, 9, 10 and 11) thereby enabling torque transmission between said pulleys (4, 5) and said drive belt (1), characterised in that, during operation of the transmission wherein a rate of change of the ratio of the rotational speeds of said pulleys (4, 5) is relatively large, at least one cylinder pressure (P_f or P_s) is maintained at a substantially higher level than during stationary operation of the transmission, wherein the ratio of the rotational speeds of said pulleys (4, 5) is virtually constant.

3. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1 or 2; whereby an actual torque to be transmitted by the transmission (T_p) varies within a torque range ($T_{p,min}$; $T_{p,max}$) of possible values, characterised in that in a predominant part of said torque range ($T_{p,min}$; $T_{p,max}$) said torque signal (T_t) represents the actual torque to be transmitted (T_p) multiplied by a safety factor substantially equal to 1.3.

4. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 1, 2 or 3, characterised in that the relationship between the rate of change, RC, of the ratio of the rotational speed of said pulleys (4, 5) and said clamping of the belt (1) between the pulley discs (8, 9, 10 and 11) is given by the equation:

$$RC = -M_{N_f/N_s} \cdot N_s \cdot F_f \cdot \left(K_s K_f - \frac{F_s}{F_f} \right)$$

wherein:

- $M_{Nf/Ns}$ is an experimentally determined (positive) parameter which varies with the ratio of the rotational speeds of said pulleys (4, 5),
- F_f is the force with which the drive belt (1) is clamped between the discs (8, 9) of the first pulley (4),
- F_s is the force with which the drive belt (1) is clamped between the discs (10, 11) of the second pulley (5),
- $K_s K_f$ is the ratio of said forces F_s and F_f at which said rate of change would be zero.

5. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised, according to any of the preceding claims, the control system (15, 16, 17, 18, 19, 20, 21) comprising an electronic control unit (17, 18, 19) with a first control module (17) generating a first control current (I_f) for operating said first valve (15), a second control module (18) generating a second control current (I_s) for operating the second valve (16) and a hydraulic circuit (15, 16, 20, 21) for allowing hydraulic medium to and from said first and said second piston/cylinder assembly (12, 13), said circuit at least being provided with a first valve (15), a second valve (16), a pump (20) and a reservoir for hydraulic medium (21), **characterised in that**,

- said modules (17, 18) are capable of mutually providing each other with at least one signal (S_f , S_s),
- in that a control module (17 or 18) incorporates a mathematical representation of a mass balance of the hydraulic circuit (15, 16, 20, 21)
- and in that said control module (17 or 18) is capable of outputting a response signal representing a control current (I_f or I_s) required for effecting a desired pressure response of the hydraulic circuit (15, 16, 20, 21) based on said mathematical representation.

6. Control system (15, 16, 17, 18, 19, 20, 21, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 5, **characterised in that**, the control system (15, 16, 17, 18, 19, 20, 21) comprises a sub-unit (19) physically separable from said control system (15, 16, 17, 18, 19, 20, 21), which sub-unit (19) contains said mathematical representation .

7. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 5 or 6, the pump (20) circulating a variable flow (Q_{op}) of hydraulic medium through the hydraulic circuit

(15, 16, 20, 21), **characterised in that**, said mathematical representation takes into account at least said flow generated by the pump (Q_{op}), the amount of flow through the valves (Q_{sa} , $Q_{sp}+Q_{pa}$) and the amount of flow to and/or from the piston/cylinder assemblies (Q_{fp} and/or Q_{sp}).

8. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 7, **characterised in that**, the mathematical representation further takes into account leakage of hydraulic medium from the piston/cylinder assemblies (12; 13) as well as a value for the compressibility of the hydraulic medium.

9. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to any one of the claims 5 - 8, wherein the first valve (15) determines the cylinder pressure (P_f) in the first piston/cylinder assembly (12) and wherein the second valve (16) determines a cylinder pressure (P_s) in the second piston cylinder assembly (13), **characterised in that**, the first valve (15) is a pressure control valve (15), which controls the maximum pressure in the hydraulic circuit by controlling a flow (Q_{sa}) from the pump (20) to a reservoir (21) for hydraulic medium and in that the second valve (16) is a flow control valve (16), which controls flow (Q_{sp}) from the pump (20) to the second piston/cylinder assembly (13) and the flow (Q_{pa}) from the second piston/cylinder assembly (13) to said reservoir (21).

10. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 9, wherein the second control module (18) is capable of controlling the ratio of the rotational speeds of said pulleys (4, 5) by generating a second control current (I_s), based on a difference between an actual rotational speed of the second pulley (5) and a desired rotational speed for said second pulley (5), for effecting a minimisation of said difference through adjusting the second valve (16), **characterised in that**, the second control module (18) controls said ratio of the rotational speeds through generating a difference signal, representing said difference, which is fed through PI-regulator having a linear response and subsequently through a linearisation module, the response of which depends on a number of transmission variables and is based on a linearisation algorithm, the output signal of said linearisation module being the second control current (I_s) and in that said modules (17, 18) are capable of mutually providing each other with at least one signal (S_f , S_s), representing respectively the

cylinder pressure (P_f) in the first piston/cylinder assembly (12, 13) and said desired rotational speed of the second pulley (5).

11. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 10, **characterised in that**, the linearisation module at least comprises said mathematical representation of said mass balance of the hydraulic circuit (15, 16, 20, 21).

12. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 9, 10 or 11, **characterised in that**, the first control module (17) is capable of controlling said clamping of the drive belt (1) by generating a control current (I_f) for controlling the first valve (15) so that the cylinder pressure (P_f) in the piston/cylinder assembly (12) of the first pulley (4) is equal to the highest value of either:

- a minimum pressure ($P_{f,min}$) at which torque transmission between the first pulley (4) and the drive belt (1) occurs virtually without mutual movement, or
- a minimum pressure required for the cylinder pressure (P_s) in the piston/cylinder assembly (13) of the second variable pulley (5) to become equal to a minimum cylinder pressure ($P_{s,min}$) at which torque transmission between the second pulley (5) and the drive belt (1) occurs virtually without mutual movement, or
- a minimum pressure ($P_{ff,min}$) at which the ratio of the rotational speed of the first and the second pulley (4, 5) decreases at a desired rate of change, or
- a minimum pressure required for the cylinder pressure (P_s) in the piston/cylinder assembly (13) of the second variable pulley (5) to become equal to a further minimum cylinder pressure ($P_{sf,min}$) at which the ratio of the rotational speed of the first and the second pulley (4, 5) increases at a desired rate of change.

13. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to any of the preceding claims, **characterised in that**, the control system (15, 16, 17, 18, 19, 20, 21) is provided with change rate restriction means for reducing said desired rate of change of the ratio of the rotational speed of said pulleys (4, 5).

14. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 13,

characterised in that, said change rate restriction means are capable of determining the flow generated by the pump (Qop) and a flow required for changing the ratio of said rotational speeds at a desired rate of change, and of reducing said desired rate of change when said flow generated by the pump (Qop) is smaller than the flow required for changing the ratio of said rotational speeds.

15. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to claim 13, **characterised in that**, said change rate restriction means are capable of determining an actual cylinder pressure (Pf or Ps) and a cylinder pressure required for changing the ratio of said rotational speeds at a desired rate of change, and of reducing said desired rate of change when said actual cylinder pressure (Pf or Ps) is smaller than the cylinder pressure required for changing the ratio of said rotational speeds.

16. Control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised according to any of the preceding claims wherein the cylinder pressure (Pf) in the first piston/cylinder assembly (12) is measured by means of a pressure sensor, **characterised in that**, the control system (15, 16, 17, 18, 19, 20, 21) is provided with calculating means for calculating the cylinder pressure (Ps) in the second piston/cylinder assembly (13) based signals at least representing said cylinder pressure (Pf) in the first piston/cylinder assembly (12), the rotational speed of the first pulley (4) and the rotational speed of the second pulley (5).

17. Continuously variable transmission provided with a control system (15, 16, 17, 18, 19, 20, 21) according to any of the preceding claims.

18. Motor vehicle provided with an engine (22) having an engine shaft (23), a continuously variable transmission according to claim 17, and a drive shaft (24) for driving driven wheels (25) of the motor vehicle, **characterised in that**, the first pulley (4) is drivingly connected to the drive shaft (24) and the second pulley (5) is drivingly connected to the engine shaft (23).

19. Motor vehicle according to claim 18, **characterised in that**, the motor vehicle comprises an adjustable clutch (26), which clutch (26) is adjusted to be capable of transmitting a maximum amount of torque from the engine (22) to the driven wheels (25), said maximum amount of torque being smaller than a torque transmittable by the

continuously variable transmission without relative movement between the drive belt (1) and the pulley discs (8, 9, 10 and 11).

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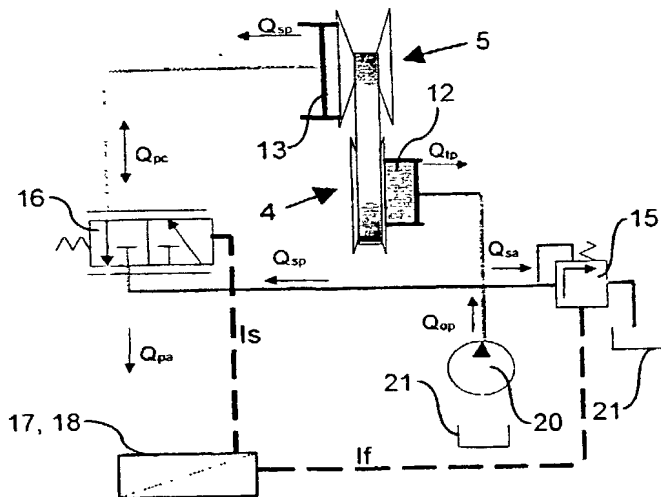
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(54) Title: CONTROL SYSTEM FOR CONTINUOUSLY VARIABLE TRANSMISSION AND CONTINUOUSLY VARIABLE TRANSMISSION WHEREIN SUCH IS UTILISED



(57) Abstract: The invention provides for a control system (15, 16, 17, 18, 19, 20, 21) for a continuously variable transmission and continuously variable transmission wherein such is utilised, the continuously variable transmission comprising a drive belt (1) located between pulley discs (8, 9, 10 and 11) of a first pulley (4) and of a second pulley (5), the pulleys (4, 5) being rotatable at a rotational speed and being operable by means of a first and a second piston/cylinder assembly (12, 13) respectively, and the control system (15, 16, 17, 18, 19, 20, 21) controls the respective cylinder pressure (Pf or Ps) in said first and second piston/cylinder assemblies (12, 13), at least based on a torque signal (Ti) representing the torque to be transmitted by the transmission and two speed signals (Nf, Ns) representing the rotational speeds (Nf, Ns) of said first and second pulley (4, 5), for clamping said drive belt (1) between said discs (8, 9, 10 and 11) thereby enabling torque transmission between said pulleys (4, 5) and

said drive belt (1). During operation of the transmission the control system (15, 16, 17, 18, 19, 20, 21) determines a minimum cylinder pressure (Pf,min, Ps,min) for each of said first and said second piston/cylinder assembly (12, 13) on a continuous basis, at which minimum cylinder pressure (Pf,min, Ps,min) said torque transmission occurs virtually without mutual movement of the drive belt (1) and the respective pulley discs (8, 9 and 10, 11). Subsequently the control system (15, 16, 17, 18, 19, 20, 21) controls the cylinder pressures (Pf and Ps) to a level which is at any time equal to, or higher than, the respective minimum cylinder pressure (Pf,min, Ps,min) for each of said first and said second piston/cylinder assembly (12, 13).

FIG. 1

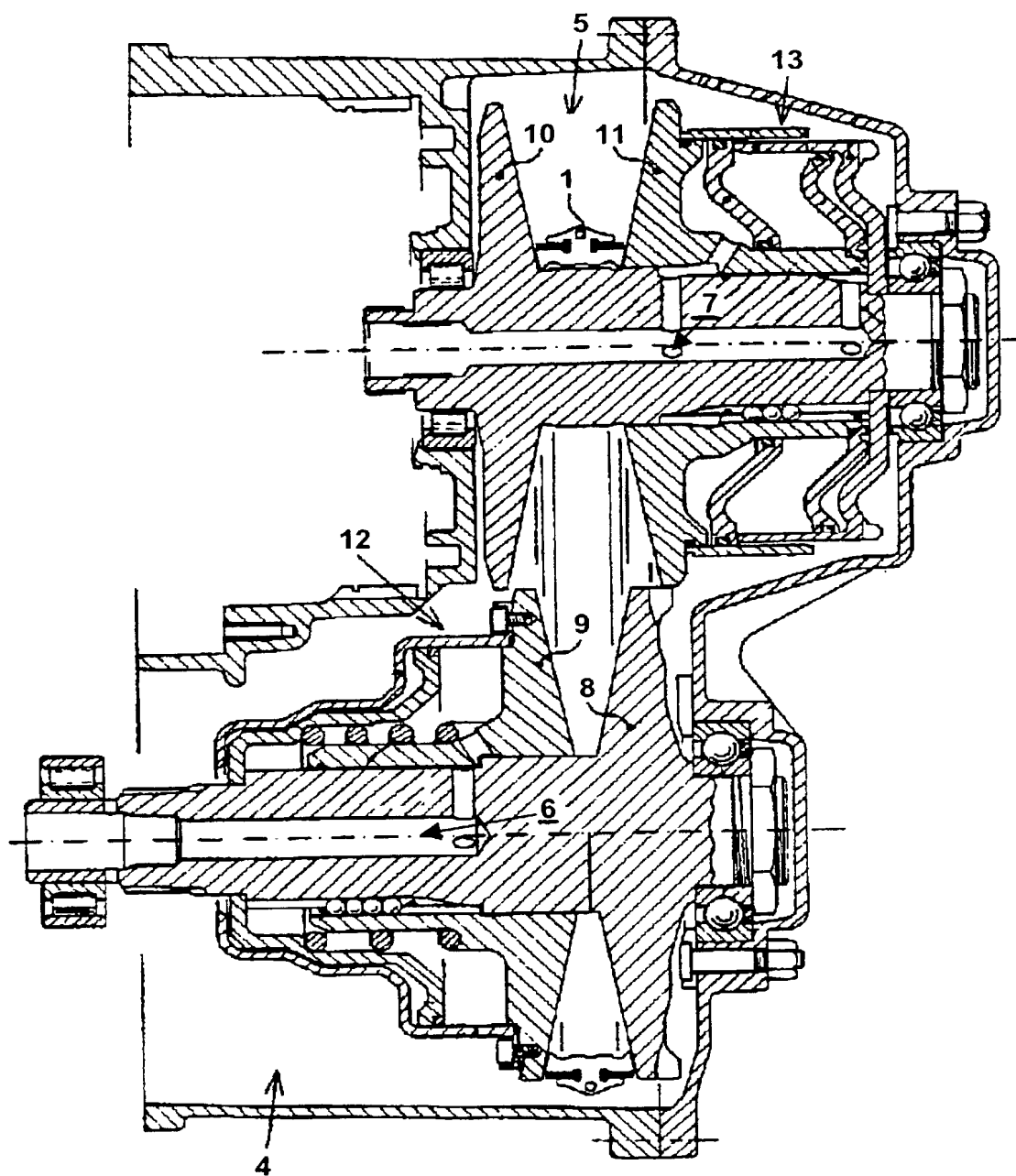


FIG. 2

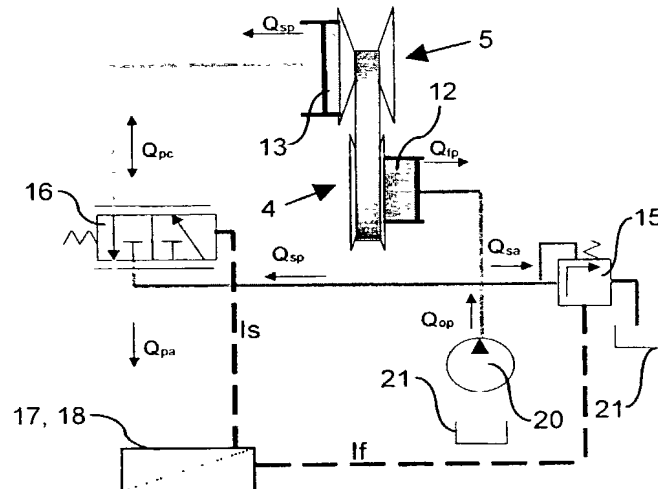


FIG. 3

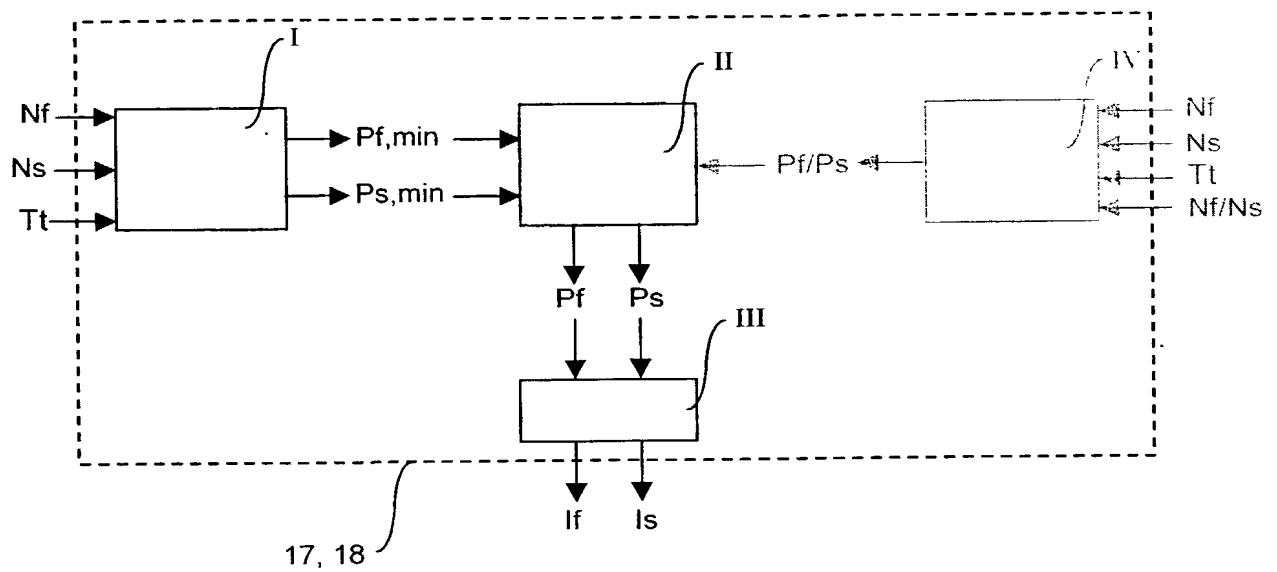


FIG. 4

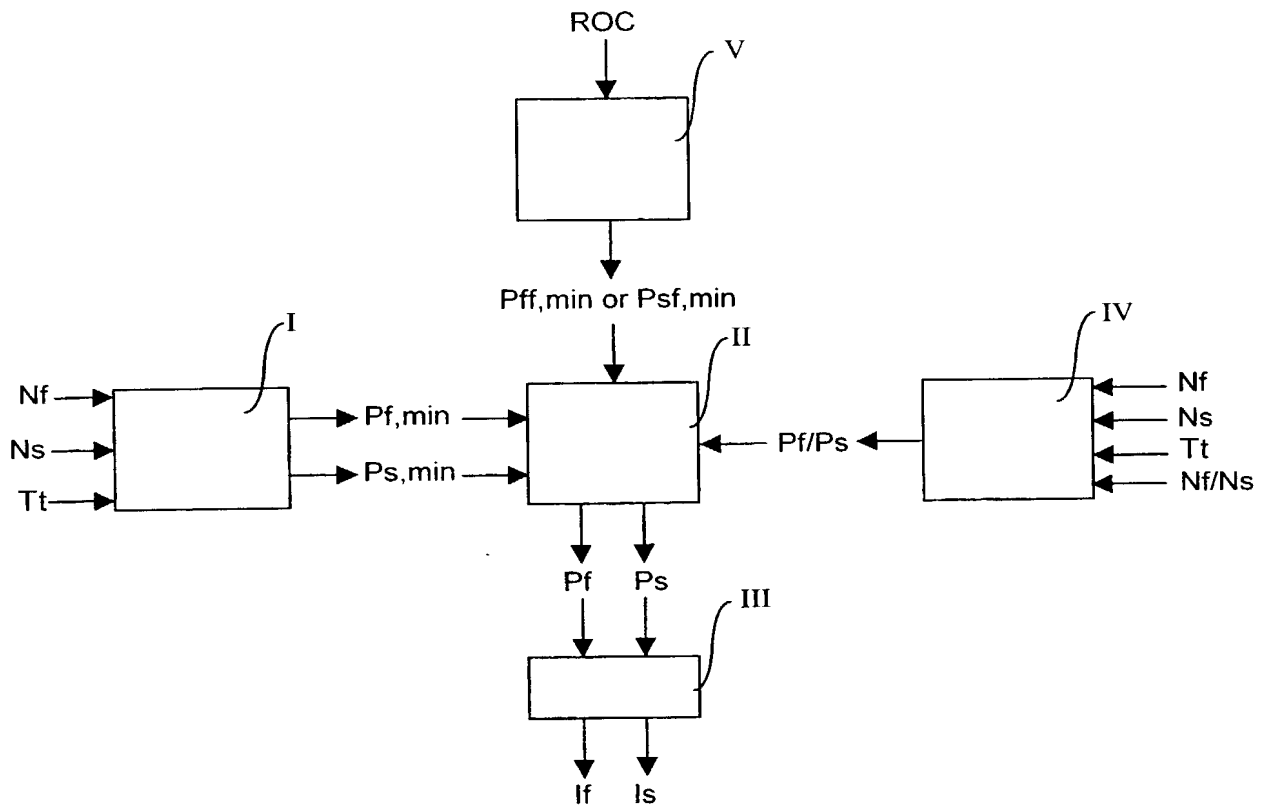


FIG. 5

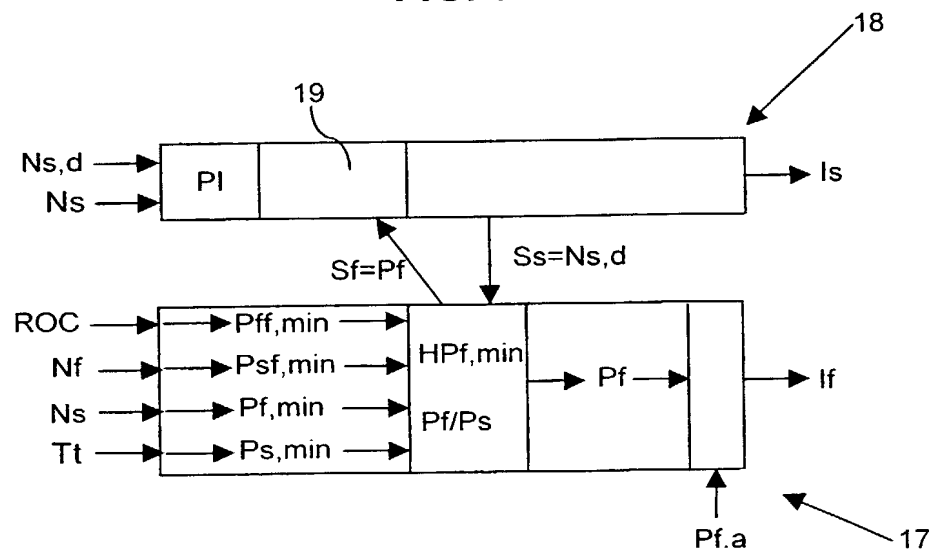


FIG. 6

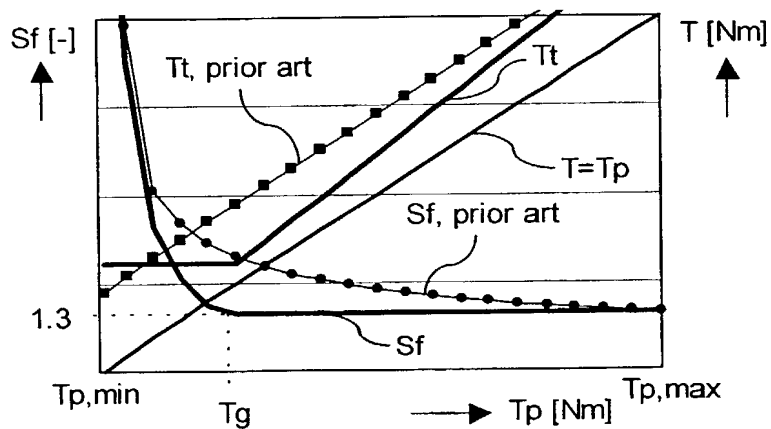
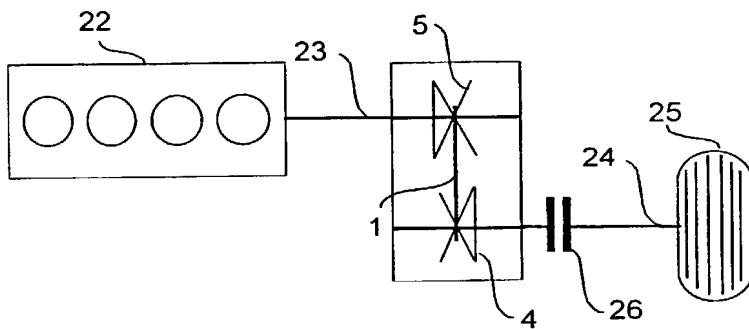


FIG. 7



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COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor. (If only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: CONTROL SYSTEM FOR CONTINUOUSLY VARIABLE TRANSMISSION AND CONTINUOUSLY VARIABLE TRANSMISSION WHEREIN SUCH IS UTILISED

the specification of which: (check one)

REGULAR OR DESIGN APPLICATION☐ is attached hereto.☐ was filed on _____ as application Serial No. _____

and was amended on _____ (if applicable)

PCT FILED APPLICATION ENTERING NATIONAL STAGE☒ was described and claimed in International application No. PCT/EP98/07000 filed on September 15, 1998 and as amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

PRIORITY CLAIM

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)

Country	Application Number	Date of Filing (day, month, year)	Priority Claimed

I hereby claim the benefit under Title 35, United States Code §119(a) of any United States provisional patent application(s) listed below:

Application No.	Filing Date	Status (patented, pending abandoned)
(Complete this part only if this is a continuing application.)		

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Filing Date	Status (patented, pending abandoned)

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POWER OF ATTORNEY

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from VAN DOORNES TRANSMISSIE B.V. as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the registered patent attorneys represented by Customer No. 000466 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, including: Robert J. PATCH, Reg. No. 17,385, Andrew J. PATCH, Reg. No. 32,925, Robert P. HARGEST, Reg. No. 25,590, Benoit CASTEL, Reg. No. 35,041, Thomas W. PERKINS, Reg. No. 33,027, Roland E. LONG, Jr., Reg. No. 41,949, and Eric JENSEN, Reg. No. 37,850.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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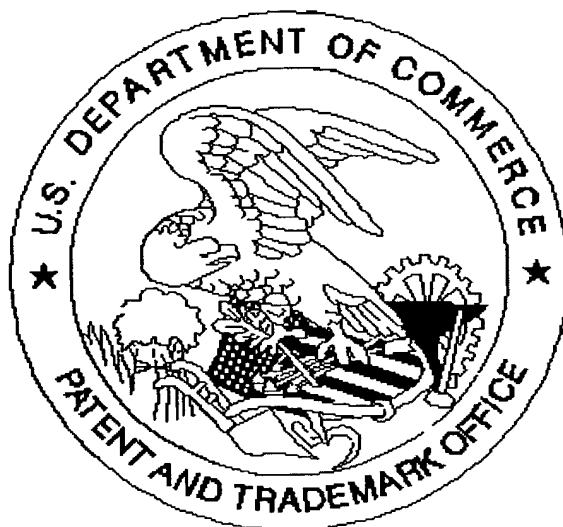
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